

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(e))

 Docket No.  
 WLJ.072

In Re Application Of: Katherine GILES et al.

 Serial No.  
 09/763,641

 Filing Date  
 FEB. 26, 2001

 Examiner  
 TO BE ASSIGNED

 Group Art Unit  
 TO BE ASSIGNED

Title: METHOD AND APPARATUS FOR FORMING A FILM ON A SUBSTRATE


 Address to:  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

3. ☒ Also enclosed are copies of (a) an International Search Report dated September 27, 2000, (b) a UK Search Report, dated January 25, 2000, which issued in connection with priority appl. no. GB 9922801.7, (c) a UK Search Report, dated September 10, 1999, which issued in connection with priority appl. no. GB 9914879.3, and (d) a UK Search Report, dated December 17, 1999, which issued in connection with priority appl. no. GB 9922691.2.

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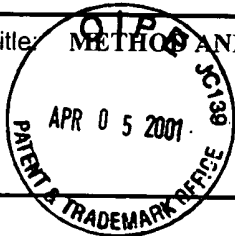
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Dated: **APRIL 5, 2001**

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